



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Roach et al.

Serial No.: 09/957,459

Filed: September 21, 2001

Title: An Automatic Real-time File
Management Method and Apparatus

Attorney Docket No.: 166.0001

Group Art Unit: 2151

Examiner:

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

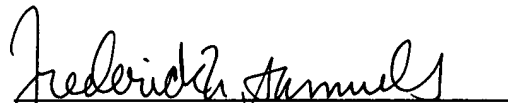
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of
international application; or before mailing date of first office action on the
merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Certification under 37 CFR 1.97(e), or
☐ a \$210.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of
allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Certification under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final action or notice of allowance, whichever occurs first,
but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation
with copies, of patents, publications or other information of which applicant(s) are
aware, which applicant(s) believe(s) may be material to the examination of this
application and for which there may be a duty to disclose in accordance with 37 CFR
1.56.

Neither this statement nor the listing of these documents on the accompanying form PTO-1449 should be considered as an admission by Applicant(s) that these materials are prior art as to Applicant(s). In addition, this statement should not be construed as a representation that no better disclosures exist.

Entry of the Information Disclosure Statement and the accompanying Form PTO-1449 are respectfully solicited.

Respectfully submitted,

A handwritten signature in cursive script, reading "Frederick N. Samuels", is written over a horizontal line.

Frederick N. Samuels, Esq.

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February 7, 2002